

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF MICHIGAN
SOUTHERN DIVISION

UNITED STATES OF AMERICA,

Plaintiff

v.

EMBRACO NORTH AMERICA, INC.,

Defendant.

Case:2:10-cr-20577

Judge: Duggan, Patrick J

MJ: Whalen, R. Steven

Filed: 09-30-2010 At 08:36 AM

INFO USA V. EMBRACO NORTH AMERICA I
NC (DA)

Violation: 15 U.S.C. § 1

INFORMATION

CONSPIRACY TO RESTRAIN TRADE

(15 U.S.C. § 1)

The United States of America, acting through its attorneys, charges:

1. Embraco North America, Inc. ("Embraco") is hereby made a defendant on the charge stated below.

I

DESCRIPTION OF THE OFFENSE

2. Beginning at least as early as October 14, 2004, and continuing until on or about December 31, 2007, the exact dates being unknown to the United States, the defendant and co-conspirators entered into and engaged in a conspiracy to suppress and eliminate competition by fixing prices to customers of household and light commercial compressors in the United States and elsewhere. For purposes of this Information with the defendant, "household compressors" means solely refrigerant compressors for household use in refrigerators and freezers. The charged

conspiracy unreasonably restrained interstate trade and commerce in violation of Section 1 of the Sherman Act (15 U.S.C. § 1).

3. The charged conspiracy consisted of a continuing agreement, understanding, and concert of action among the defendant and co-conspirators, the substantial terms of which were to fix prices to customers for household and light commercial compressors in the United States and elsewhere.

II

MEANS AND METHODS OF THE CONSPIRACY

4. For the purposes of forming and carrying out the charged conspiracy, the defendant and co-conspirators did the following things, among others:

- (a) participated in meetings and conversations to discuss household and light commercial compressor customers in the United States and elsewhere;
- (b) agreed, during those meetings and conversations, to coordinate prices of household and light commercial compressors in the United States and elsewhere;
- (c) exchanged information during those meetings and conversations, for the purpose of monitoring and enforcing adherence to the agreements to coordinate prices of household and light commercial compressors in the United States and elsewhere; and
- (d) coordinated prices for household and light commercial compressor customers.

III

DEFENDANT AND CO-CONSPIRATORS

5. Embraco is a corporation organized and existing under the laws of Delaware and does business in the United States and elsewhere with its principal place of business in Suwanee, Georgia.

6. Various individuals and corporations, not made defendants in this Information, participated as co-conspirators in the offense charged and performed acts and made statements in furtherance of it.

7. Whenever this Information refers to any act, deed, or transaction of any corporation, it means that the corporation engaged in the act, deed, or transaction by or through its officers, employees, agents, or other representatives while they were actively engaged in the management, direction, control, or transactions of its business or affairs.

IV

TRADE AND COMMERCE

8. During the period covered by this Information, Embraco and co-conspirators produced household and light commercial compressors and sold and shipped household and light commercial compressors in a continuous and uninterrupted flow of interstate and foreign commerce to customers located in the United States and elsewhere.

9. During the period covered by this Information, the business activities of Embraco and its co-conspirators in connection with household and light commercial compressors which are the subject of this Information were within the flow of, and substantially affected, interstate and foreign trade and commerce.

V

VENUE

10. The conspiracy charged in this Information was carried out, in part, within the Eastern District of Michigan, Southern Division, within the five years proceeding the filing of this Information.

ALL IN VIOLATION OF TITLE 15, UNITED STATES CODE, SECTION 1.

s/CHRISTINE A. VARENY
CHRISTINE A. VARNEY
Assistant Attorney General

s/SCOTT M. WATSON
SCOTT M. WATSON
Chief, Cleveland Field Office

s/SCOTT D. HAMMOND
SCOTT D. HAMMOND
Deputy Assistant Attorney General

s/MICHAEL F. WOOD
MICHAEL F. WOOD
DC-376312

s/MARC SIEGEL
MARC SIEGEL
Director of Criminal Enforcement

IAN D. HOFFMAN
IA-14831

ERIC M. MEIRING
OH-0083589

Antitrust Division
U.S. Department of Justice

Trial Attorneys
Antitrust Division
U.S. Department of Justice
Carl B. Stokes U.S. Court House
801 W. Superior Avenue, 14th Fl.
Cleveland, OH 44113-1857
Telephone: (216) 687-8410
Fax: (216) 687-8423
E-mail: michael.wood@usdoj.gov

Dated: September 30, 2010

ORIGINALUnited States District Court
Eastern District of Michigan**Criminal Case C**Case: 2:10-cr-20577
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NOTE: It is the responsibility of the Assistant U.S. Attorney signing this form to

Reassignment/Recusal Information

This matter was opened in the USAO prior to August 15, 2008 []

Companion Case InformationThis may be a companion case based upon LCrR 57.10 (b)(4)¹:Companion Case Number: **10-CR-20576**Judge Assigned: **COOK**☒ Yes☐ NoAUSA's Initials: **JR**ALSO COMPANION TO 09-MD-2042 ASSIGNED
TO JUDGE COX

Case Title: USA v. Embraco North America, Inc.

County where offense occurred : Lenawee and Others

Check One:

☒ Felony☐ Misdemeanor☐ Petty Indictment/ X Information --- no prior complaint. Indictment/ Information --- based upon prior complaint [Case number:] Indictment/ Information --- based upon LCrR 57.10 (d) [Complete Superseding section below].**Superseding Case Information**

Superseding to Case No: _____

Judge: _____

- ☐ Original case was terminated; no additional charges or defendants.
☐ Corrects errors; no additional charges or defendants.
☐ Involves, for plea purposes, different charges or adds counts.
☐ Embraces same subject matter but adds the additional defendants or charges below:

Defendant nameChargesPrior Complaint (if applicable)

Please take notice that the below listed Assistant United States Attorney is the attorney of record for the above captioned case.

September 30, 2010

Date

Michael F. Wood
MICHAEL F. WOODTrial Attorney, Antitrust Division
U.S. Department of Justice
801 W. Superior Avenue, 14th Floor
Cleveland, OH 44113-1857
Phone: (216) 687-8410
E-mail: michael.wood@usdoj.gov
Bar #: DC-376312¹ Companion cases are matters in which it appears that (1) substantially similar evidence will be offered at trial, (2) the same or related parties are present, and the cases arise out of the same transaction or occurrence. Cases may be companion cases even though one of them may have already been terminated.